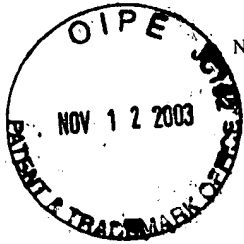


I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, with sufficient postage affixed and is addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 12, 2003.



Name: Esther Lily C. Esguerra

Signature: *Esther Lily C. Esguerra*

Patent

Attorney's Docket No. 10201.20002.05  
(formerly CYTOP009C5)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

BERGNES, et al.

Application No. 09/724,897

Filed: November 28, 2000

For: METHODS AND COMPOSITIONS  
UTILIZING QUINAZOLINONES

) **MAIL STOP: RCE**  
) Group Art Unit: 1624  
) Confirmation No. 1088  
)  
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**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying PTO-Form 1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [ ] The fee of \$180.00 (1806) as set forth in 37 C.F.R. §1.17(p) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. §1.97(e) is also enclosed.
- ☐ [ ] A certification under 37 C.F.R. §1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. §1.17(p) are also enclosed.

Respectfully submitted,

Date: November 12, 2003

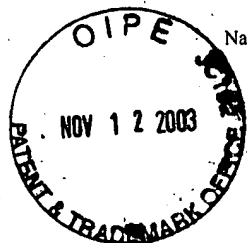
By: *Lauren L. Stevens*  
Lauren L. Stevens, Reg. No. 36,691  
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Name: Esther Lily C. Esguerra

Signature: \_\_\_\_\_

Patent

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) Confirmation No. 1088

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: \_\_\_\_\_

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§1.97 and 1.98. Pursuant to 37 C.F.R. §1.98, a copy of each of the documents cited is enclosed.

By citing the above-references, Applicants do not acquiesce or admit that any of these documents are "prior art" under 35 U.S.C. Applicants specifically reserve the right, where appropriate, to antedate any of the cited documents by an appropriate showing under 37. C.F.R. §1.131, §1.604, 1.608 or any other suitable means.

To assist the Examiner, the documents are listed on the attached PTO-Form 1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

Date: November 12, 2003

By: \_\_\_\_\_

Lauren L. Stevens, Reg. No. 36,691  
SWISS LAW GROUP  
Building 3, Palo Alto Square  
3000 El Camino Real, Suite 100  
Palo Alto, CA 94306

<b>LIST OF REFERENCES CITED BY APPLICANT</b> (Use several sheets if necessary)	ATTY DOCKET NO. 10201-20002.05 (formerly CYTOP009C5)	APPLICATION NO. 09/724,897
	APPLICANT BERGNES et al.	
	FILING DATE November 28, 2000	GROUP 1624

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	6,008,010	12/28/99	Greenberger et al.			
	A02	6,207,403	03/27/01	Goldstein et al.			
	A03						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B01	WO 93/11115	06/10/93	WIPO				
	B02	WO 98/26664	06/25/98	WIPO				
	B03	WO 01/19800	03/22/01	WIPO				
	B04							

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	C01	Alger et al., Chem. Abstract, 1977, Vol. 86, Abstract No. 83505
	C02	Bergman et al., "Synthesis of Chrysogine, a Metabolite of <i>Penicillium chrysogenum</i> and some related 2-substituted 4-(3H)-Quinazolinones", 1990, Tetrahedron, Vol. 46, pp. 1295-1310
	C03	Chaurasia et al., Chem Abstract, 1982, Vol 96, Abstract No. 6681 Tani et al., Chem Abstract 1980, Vol 93, Abstract No. 26374
	C04	El-Nasser Ossman et al., Chem Abstract, 1987, Vol 106, Abstract No. 207516
	C05	Faraghaly et al., Chem Abstract, 1991, Vol 114, Abstract No. 122242
	C06	Gupta et al., Chem Abstract, 1968, Vol 69, Abstract No. 42637
	C07	Hart et al., "Synthesis of <i>ent</i> -Alantrypinone", 2001, J. Am. Chem Soc., Vol. 123, pp. 5892-5899
	C08	Kumar et al., Chem Abstract, 1985, Vol 102, Abstract No. 142800
	C09	Pandey et al., Chem Abstract, 1996, Vol. 124, Abstract No. 331723
	C10	Parasharya et al., Chem Abstract, 1994, Vol 121, Abstract No. 108675
	C11	Saari et al., Chem Abstract, 1992, Vol 117, Abstract No. 191731
	C12	
	C13	

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.